



| Risk Profile /Principal Erosion Risk   | Administrative Investment Plans   | Investment Amount | Front End Load*, **  |
|--|---|-------------------|----------------------|
| Risk Profile: High<br>Risk of Principal Erosion: High<br>ریسک پروفائل: زیادہ<br>اصل زرخیز کنٹری کا خطرہ: زیادہ | UBL Wealth Builder Plan - Aggressive (25% Income-UGSF & 75% Equity-USF)                 | Rs: _____         | By default / _____ % |
|  | UBL Children Savings Plan - Very Aggressive (30% Income-UGSF & 70% Equity-USF)          | Rs: _____         | By default / _____ % |
|  | Al-Ameen Islamic Wealth Builder Plan - Aggressive (25% Income-AISF & 75% Equity-ASSF)   | Rs: _____         | By default / _____ % |
|  | Al-Ameen Islamic Children Savings Plan - Aggressive (30% Income-AISF & 70% Equity-ASSF) | Rs: _____         | By default / _____ % |

\*Weighted average of underlying Funds as per allocation

#### 4 Investment payment details & Unit mode of holding

| Mode of Payment اداگی کے موڈ  | Cheque No. چیک نمبر | Bank Name (Drawn on) بینک کا نام | Branch Name & Code برانچ کا نام اور کوڈ |
|---|---------------------|----------------------------------|---|
| 1 <input type="checkbox"/> Cheque <input type="checkbox"/> Pay Order <input type="checkbox"/> Demand Draft <input type="checkbox"/> Online Transfer |                     |                                  |   |
| 2 <input type="checkbox"/> Cheque <input type="checkbox"/> Pay Order <input type="checkbox"/> Demand Draft <input type="checkbox"/> Online Transfer |                     |                                  |   |

Unit mode of holding:  A/c Statement  Physical Certificate  CDS Holding

Incase of CDC Holding Participant ID/IAS ID  Client/House/Investor A/c #   
 سی ڈی سی ہولڈنگ کی صورت میں شریک کا ID گاہک/گھر/سرمایہ کار

Note: Incase you opt for 'physical certificates' as the unit mode of holding, Rs.25/- per certificate will be charged separately

نوٹ: اگر آپ فزیکل سرٹیفکیٹ بناتے ہیں تو وہ ہر یونٹ موڈول آف ہولڈنگ کے طور پر، ہر سرٹیفکیٹ پر 25/- روپیے الگ سے وصول کیا جائے گا۔

Guidelines & Instructions: 1) Cash will not be accepted. 2) Payment can be made in the form of a cheque, demand draft, pay order, or online account transfer. 3) If the payment instrument is returned, the unpaid application will be rejected. 4) It should be the responsibility of the applicant to pay all charges and taxes in relation to the units purchased by him/her. 5) Applications by foreign nationals and non-resident individuals shall be accepted subject to existing laws provided the subscription amount is paid by means of remittance through banking channels or through means permitted by the State Bank of Pakistan (SBP). 6) Front-end load (charges) will be applicable on investment as per Constitutive Documents of the Fund(s) / Investment Plan(s). 7) if you are opting for physical certificates, and wish to specify the number of units/denominations for the certificates, please provide a separate sheet/request mentioning your requirements.

ہدایات: 1) کیش قبول نہیں کی جائے گا۔ 2) ادائیگی چیک، ڈیمانڈ ڈرافٹ، پی آر آر یا آن لائن اکاؤنٹ ٹرانسفر کی شکل میں کی جاسکتی ہے۔ 3) اگر ادائیگی کا Cheque واپس ہو جائے تو ادائیگی کی درخواست مسترد کر دی جائے گی۔

4) درخواست دہندہ کی ذمہ داری عائد ہونی چاہئے کہ وہ اپنے ذریعہ خریدی گئی یونٹوں کے سلسلے میں تمام Charges ادا کرے۔ 5) غیر ملکی شہریوں اور غیر ہائٹی افرادی شخص کردہ درخواستوں کو موجودہ اجازت کے ذریعہ ترسیلات زر قوانین کے تابع قبول کیا جائے گا بشرطیکہ مسکنیشن کی رقم بینکاری چینلوں کے ذریعہ یا سٹیٹ بینک آف پاکستان کے بتائے گئے چینلوں کے ذریعہ ادا کی جائے۔ 6) فزیکل سرٹیفکیٹ کی دستاویزات کے مطابق سرمایہ کاری پر فرنت اینڈ یو جھکا اطلاق ہوگا۔ 7) اگر آپ

physical سرٹیفکیٹس کا انتخاب کر رہے ہیں، اور سرٹیفکیٹ کے لئے اکائیوں/تعداد بتانا چاہتے ہیں تو، براہ کرم اپنی ضروریات کا تذکرہ کرتے ہوئے ایک الگ شیٹ/درخواست فراہم کریں۔

#### 5 Source(s) of investments

Source(s) of Investments (the principal unit holder or on whom dependent upon)

(select atleast one / more than one if applicable)

|                              |                                       |   |   |
|------------------------------|---------------------------------------|---|---|
| <input type="radio"/> Salary | <input type="radio"/> Business Income | <input type="radio"/> Foreign Remittance(s) | <input type="radio"/> Stocks / Investments / liquid asset as per tax return |
| <input type="radio"/> Gift   | <input type="radio"/> Inheritance     | <input type="radio"/> Sale of property      | <input type="radio"/> Agriculture <input type="radio"/> Other _____         |

#### 6 Declaration for Free Takaful Coverage

I declare for:

- not having had any illness requiring a hospital stay, medical treatment or medical follow-up for more than 30 days during the last 2 years Yes  No
- not having been off work for sickness for more than 14 consecutive days during the last 2 years Yes  No
- not having any surgical procedure or medical investigations planned for the next 6 months Yes  No

I confirm my understanding that failure to disclose a material fact may lead to the rejection of any claim relating to this Takaful Scheme.

Note: All above declarations are mandatory to tick. HQF will be required if 'Yes' is tick to any of the above.



Undertaking

I/we hereby declare that the information provided in this form is true and correct and that I/we am/are authorized to conduct transaction in this account. I/we, hereby give our consent to UBL Funds to share my/our information with any third party(ies) for due diligence, Mobile CNIC pairing verification and for improvement in customer services. I/we hereby acknowledge having read and understood the Consolidated Offering Document(s) as amended from time to time, latest Fund Manager Report and/or Fact Sheet of the relevant CIS(s)/VPS(s)/Plan(s). I/we understand to access the Company website to keep myself/ourselves updated before every operation of this account. I/we declare that I/we am/are the Ultimate Beneficial Owner of the amount invested and the funds are legitimate and not generated from Money Laundering Activities. I/we am/are fully informed and understand that investment in units of CIS(s)/VPS(s)/Plan(s) are not bank deposit, not guaranteed and not issued by any person. Shareholder of UBL Funds are not responsible for any loss to investor resulting from the operations of any CIS(s)/VPS(s)/Plan(s) launched by UBL Funds unless otherwise mentioned.

I/we hereby indemnify UBL Funds against any liability, loss or damages, compensation, legal proceedings arising as a result of the inaccurate and / or incomplete information by me/us and / or due to technical issue in the site / portal / service for the execution of online transaction (online, IBFT & RTGS). I/we hereby further confirmed and undertake that the provided account details are correct.

Disclaimer

I/we understand that investment in CIS(s)/Plan(s)/VPS(s) are subject to market risks and fund prices may go up or down based on market conditions. I/we understand that past performance is not necessarily an indicator of future results and there is no guaranteed return or capital. I/we hereby also acknowledge that I/we have reviewed and understood detail of Sales Load, the Total Expense Ratio, Back-end and Contingent Load percentages including taxes of the Scheme as disclosed at UBL Fund website. **Under the Cooling-off Right** Investor can claim, first time investment in a CIS(s)/Plan(s)/VPS(s), through a written request at the applicable NAV on the date of the application within three business days of the said investment. Use of name and logo of UBL Bank / UBL Ameen as given above does not mean that they are responsible for the liabilities/obligations of UBL Fund Managers & Al-Ameen Funds or any investment scheme managed by them.

Date   -   -    
تاریخ (dd - mm - yy)

Principal Unit Holder's Signature

پرنسپل یونٹ ہولڈر کے دستخط

1.

2.

3.

Joint Unit Holder'(s) Signature(s)

جوینٹ یونٹ ہولڈر کے دستخط

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## Glossary

|    |         |   |    |                       |   |
|----|---------|---|----|-----------------------|---|
| 1  | ARC No  | Alien Registration Card                 | 14 | KYC                   | Know Your Customer  |
| 2  | CFI     | Combating the Financing of Terrorism    | 15 | MFS                   | Margin Financing System                                       |
| 3  | CIS     | Collective Investment Scheme            | 16 | MTS                   | Margin Trading System   |
| 4  | CNIC    | Computerised National Identity Card     | 17 | NAV                   | Net Asset Value   |
| 5  | CPPI    | Constant Proportion Portfolio Insurance | 18 | NBFC & NE Regulations | Non Banking Finance & Companies Notified Entities Regulations |
| 6  | CRS     | Common Reporting Standard               | 19 | NICOP                 | National Identity Card for Overseas Pakistanis                |
| 7  | DFI     | Development Financial Institution       | 20 | NTN                   | National Tax Number   |
| 8  | FATA    | Federally Administered Tribal Areas     | 21 | POC No                | Pakistan Origin Card Number                                   |
| 9  | FATCA   | Foreign Account Tax Compliance Act      | 22 | TIN                   | Taxpayer Identification Number                                |
| 10 | IBAN    | International Bank Account Number       | 23 | VAS                   | Value Added Service   |
| 11 | IC      | Investment Center                       | 24 | VPS                   | Voluntary Pension Scheme                                      |
| 12 | ID Card | Identification Card                     |    |                       |   |
| 13 | IPO     | Initial Product Offering                |    |                       |   |

## For Office Use Only صرف دفتری استعمال کے لیے

Distributor تقسیم کار  Name of Agent ایجنٹ کا نام  Staff-Designation اسٹاف کا عہدہ

Reference/Agent No ایجنٹ نمبر  IC/Location مقام  Remarks ریمارکس

CRM Lead