# Initial Investment Form for AI-Ameen Islamic Retirement Savings Fund (AIRSF) For new portfolio account/extension ID (to be used only for existing investors)

Customer ID							
(For existing customer only)							
New Pension Portfolio Account							
New Extension ID							



For assistance in filling out this form, Please call at 0800-26336 or sms HELP to 8258. Please Save 0340-8253863 in your smartphone to avail Smart Whatsapp self service, type HI and send.

General Instructions & Guideline	
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General instructions & Guideline	5

1)This form is for use by individual applicants who want to open a Retirement Savings account with Al-Ameen Funds. 2)Fill the form in block letters and in legiblehandwriting to avoid errors in application processing. If any alteration is made, a countersign is mandatory. 3) Fill the form yourself or get it filled in your presence. Do not signand/or submit blank forms. 4) Please tick in the appropriate box wherever applicable, in case any field is not relevant, please mark 'N/A' (Not Applicable). 5) It is theresponsibility of the applicant to carefully read and understand the guidelines and instructions provided in this form and the terms and conditions, especially risk disclosure, disclaimer, warning statement, investment objective in the Offering Document of Al-Ameen Islamic Retirement Savings Fund (AIRSF). 6)Applications incomplete in any respect and/or notaccompanied by required documents are liable to be held or rejected until complete requirements are fulfilled. 7) Applications complete in all respects and carrying necessary documentary attachments should be submitted at UBL Fund Managers' Investment Centers, designated UBL Branches, distributor outlets, or at UBL Fund Managers -Operations Office: 4th Floor, STSM Building, Beaumont Road, Civil Lines, Karachi, Pakistan. A complete list of Investment Centers, UBL Branches and distributor outlets is available on www.UBLFunds.com. To find an Investment Center near you SMS 'IC' to 8258 8) For assistance in filling this form orinformation about our products and services call our nationwide help line at 0800-26336

1	Principal Unit Hold	er Deta	ils (	Man	datc	ory)															
Name (N	/Ir/Ms/Mrs)																				
CNIC N	umber	-				-			(Plea	ise w	/rite i	n blo	ck le	tters)							
Employ	er Name																				
Employ	er/Business address																				

# **Retirement Age**

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Note:

Account Type

Please specify expected retirement age

or expected date of retirement

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- Retirement age can be 25 years from the date of first investment or any age between 60 to 70 years whichever is earlier. If retirement age/date is not specified, by default the age/date 25 years from the first investment or 60 years whichever is earlier will be selected as the expected 2 retirement age.
- Expected retirement age can be changed at a later date (subject to terms and conditions specified in the Offering Document of the Fund) 3.

Employer/Third Party

If you would like to change your expected date of retirement you may do so by filling Section 5) of VPS Account Update Form. In case no written intimation is received till the date of your retirement, your VPS allocation will automatically be changed to 'Lower Volatility' at the date of retirement in accordance with the VPS Rules, 2005.

till 1	till the date of your retirement, your VPS allocation							
3	Initial Contribution Details							

PF	Norma

Mode of contribution (tick one)		Self
Investment details for 'Self' contribu	ition	

Initial Contribution Amount (Rs.)

Front end load%: \_\_\_\_ (excluding Taxes)

In	wo	rds

	Mode of Payment		Instrument No.	(Drawn on) Bank Name	Branch Name & Code
Cheque	Pay Order	Online Transfer			
Cheque	Pay Order	Online Transfer			

2

Participant Signature

## Allocation Plan Details

Please select (any one) of the Allocation plans given below. Allocation schemes from lower to high are categorized in terms of volatility. In case of customized allocation, please specify the percentage (%) in the respective sub-funds.

High volatility 🗌	Medium volatility	Low volatility 🗌	Lower volatility 🗌	
Equity Sub-Fund: 70%	Equity Sub-Fund: 40%	Equity Sub-Fund: 15%	Equity Sub-Fund: 0%	Equity Sub-Fund%
Debt Sub-Fund: 25%	Debt Sub-Fund :45%	Debt Sub-Fund: 65%	Debt Sub-Fund: 50%	Debt Sub-Fund%
MM Sub-Fund: 0%	MM Sub-Fund: 15%	MM Sub-Fund: 20%	MM Sub-Fund: 50%	MM Sub-Fund%
	r	r	1	r
Age: 18-30	Age: 31-40	Age: 41-50	Age: 51-60	Age: 60 and above
Equity Sub-Fund: 75%	Equity Sub-Fund: 70%	Equity Sub-Fund: 60%	Equity Sub-Fund: 50%	Equity Sub-Fund: 0%
Debt Sub-Fund: 20%	Debt Sub-Fund: 25%	Debt Sub-Fund: 30%	Debt Sub-Fund: 30%	Debt Sub-Fund: 50%
	MM Sub-Fund: 5%	MM Sub-Fund: 10%	MM Sub-Fund: 20%	MM Sub-Fund: 50%

1. Allocation scheme can be changed subject to the terms & conditions specified in the Offering document of the fund.

2. If an allocation scheme is not selected, the participant's contribution would be allocated in the default allocation scheme, i.e. life cycle allocation scheme, unit such time the participant selects an allocation scheme depending on the age of the participant.

# 5 Transfer from another Pension Fund Manager (If applicable)

Name of Pension Fund	Name of Pension Fund Manager
Date of Joining	Amount being transferred (Rs.)
Previous Pension Fund Manager's Address	

# 6 Declaration for Free Takaful Coverage (where applicable)

• having had any illness requiring a hospital stay, medical treatment or medical follow-up for more than 30 days during the last 2 years Yes 🗌 No 📃

having been off work for sickness for more than 14 consecutive days during the last 2 years
Yes
No

• having any surgical procedure or medical investigations planned for the next 6 months Yes 🗌 No 📃

I confirm my understanding that failure to disclose a material fact may lead to the rejection of any claim relating to this Takaful Scheme.

Note: All above declarations are mandatory to tick. HQF will be required if 'Yes' is tick to any of the above.

Participant Signature

Al-Ameen Funds New extension VPS / Version 2 / Effective date 20 - 10 - 22

### Undertaking:

I/We, hereby give our consent to UBL Funds to share my/our information with any third party(ies) in order to perform KYC related verification including NADRA Verisys, IBAN, due diligence, Mobile CNIC pairing verification and for improvement in customer services. I/We hereby acknowledge having read and understood the Consolidated Offering Document(s) as amended from time to time, latest Fund Manager Report and/or Fact Sheet of the relevant CIS(s)/VPS(s)/Plan(s). I/We understand to access the Company website to keep myself updated before every operation of this account. I/We declare that I/We am/are the Ultimate Beneficial Owner of the amount invested and the funds are legitimate and not generated from Money Laundering Activities. I/We am/are fully informed and understand that investment in units of CIS(s)/VPS(s)/Plan(s) are not bank deposit, not guaranteed and not issued by any person. Shareholder of UBL Funds are not responsible for any loss to investor resulting from the operations of any CIS(s)/VPS(s)/Plan(s) launched by UBL Funds unless otherwise mentioned. I/We hereby indemnify UBL Funds against any liability, loss or damages, compensation, legal proceedings arising as a result of the inaccurate and / or

incomplete information by me and / or due to technical issue in the site / portal / service for the execution of online transaction (online, IBFT & RTGS). I further indemnify UBL Funds from any loss or liability occurring by blocking of accounts due to any administrative action including missing or outdated Source of Income and/or Know Your Customer related information. I/We hereby further confirmed and undertake that the provided account details are correct.

#### **Disclaimer**

I/We understand that investment in CIS(s)/Plan(s)/VPS(s) are subject to market risks and fund prices may go up or down based on market conditions. I/We understand that past performance is not necessarily an indicator of future results and there is no guaranteed return or capital. I/We hereby also acknowledge that I/We have reviewed and understood detail of Sales Load, the Total Expense Ratio, Back-end and Contingent Load percentages including taxes of the Scheme as disclosed at UBL Fund website. Under the Cooling-off Right Investor can claim, first time investment in a CIS(s)/Plan(s)/VPS(s), through a written request at the applicable NAV on the date of the application within three business days of the said investment.

Use of name and logo of UBL Bank / UBL Ameen as given above does not mean that they are responsible for the liabilities/obligations of UBL Fund Managers & Al-Ameen Funds or any investment scheme managed by them.

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	(dd - mm - yy)									

Participant Signature

#### **Instructions & Guidelines**

1. Cash will not be accepted

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- 2. Payment can be made in the form of a cheque, pay order or online account transfer
- 3. Payment shall be made in favor of 'CDC Trustee UBL Retirement Savings Fund'. Instrument should be crossed 'Account Payee Only'
- 4. It should be the responsibility of the applicant to pay all charges and taxes in relation to the units purchased by him/her
- 5. Applications by foreign nationals and nonresident individuals shall be accepted subject to existing laws provided the subscription amount is paid by means of a remittance
- through banking channels or through means permitted by the State Bank of Pakistan (SBP)
- 6. Front-end load (charges) will be applicable on investment as per the constitutive documents of the Fund
- 7. Application will be processed as per cut-off timings for the Fund
- 8. In case of Employer/Third Party initial contribution, 'Employer & Third Party Contributor Form' should be attached with details.
- 9. Online account transfer facility is available with selected banks
- 10. Applicability of tax deduction at the time of withdrawal would be as per the prevailing Income Tax Law.

#### **Document Checklist**

Before submitting this form, make sure the following documents are attached. If one or more of the documents are missing, your application may be declined or processed with a delay.

In case of Zakat Exemption, Zakat Affidavit shall be provided for the participant. If not submitted, Zakat will be deducted at the time of withdrawal.

Copy of Pension Fund's Account Statement
(In case of transfer from another Pension Fund Manager)

Employer & Third Party Contributor Form (In case of contribution by Employer/Third Party)

	For Office Use Only	
Distributor	Name of Agent	Sub-Agent
Reference/Agent Code	IC/Location	_ Remarks
CRM Lead		