Account Opening Form For Smart Investment Plans
Existing Investors

UBL FUNDS

For assistance in filling this form, speak with our customer care executives at 0800-00026 or sms HELP to 8258.
You can submit the form at your nearest UBL Fund Managers Investment Center, designated UBL Branches or authorized distributor outlets. You can also courier the form to: UBL Fund Managers - Operations Office, 4th Floor, STSM Building, Beaumont Road, Civil Lines, Karachi, Pakistan.

General Instructions & Guidelines

1) Fill the form in block letters and in legible handwriting to avoid errors in application processing.
2) Fill the form yourself or get it filled in your presence. Do not sign and/or submit blank forms.
3) If any alteration is made, a countersign is mandatory.
4) Applications incomplete in any respect and/or not accompanied by required documents are liable to be held or rejected until complete requirements are fulfilled.
5) It is the responsibility of the applicant to carefully read and understand the guidelines and instructions provided in this form and the terms and conditions in the Offering Document(s) of the the relevant schemes prior to submitting the form.
6) Payment can be made in the form of a cheque, demand draft, pay order or online account transfer.
7) Cash will not be accepted.
8) If payment instrument is returned, the unpaid application will be rejected.
9) It should be the responsibility of the applicant to pay all charges and taxes in relation to the units purchased by him/her.
10) Applications by foreign nationals and nonresident individuals shall be accepted subject to existing laws provided the subscription amount is paid by means of a remittance through banking channels or through means permitted by the State Bank of Pakistan (SBP).
11) Front-end load (charges) will be applicable on investment as per Constitutive Documents of the Fund(s) / Investment Plan(s).
12) Application will be processed as per cut-off timings for the Fund(s) / Plan(s). For cut-off timings SMS CT to 8258.

1 Principal Unit Holder Details (Mandatory)

Name (Mr/Ms/Mrs.) ______________________________

CNIC Number ☐☐☐☐☐ - ☐☐☐☐☐☐☐ - ☐ and/or Customer ID ☐☐☐☐☐☐☐☐☐☐

2 Joint Unit Holder Details (Optional)

	Name (Mr/Ms/Mrs.)	CNIC Number	Specimen Signature
1.	______________	☐☐☐☐☐ - ☐☐☐☐☐☐☐ - ☐	______________
2.	______________	☐☐☐☐☐ - ☐☐☐☐☐☐☐ - ☐	______________

3 Account Operating Instructions (Optional)

Tick (one) as appropriate: ☐ Principal Unit Holder ☐ All Joint Holders ☐ Either or Survivor Other (please specify) ______________

4 UBL Wealth Builder Plan

Please select your desired allocation (Kindly select one from the choices provided)

	UBL Government Securities Fund (Income)	UBL Stock Advantage Fund (Equities)	UBL Gold Fund (Cummodity)
☐ UBL Wealth Builder- Aggressive Allocation	25%	65%	10%
☐ UBL Wealth Builder- Moderate Allocation	50%	40%	10%
☐ UBL Wealth Builder- Conservative Allocation	75%	20%	5%
☐ UBL Wealth Builder-Customized Allocation	_____ %	_____ %	_____ %

Note: if no allocation is chosen by the investor, conservative allocation will be chosen as default allocation scheme

Total Investment Amount (Rs.) ____________________ In words ____________________

	Mode of Payment	Instrument No.	(Drawn on) Bank Name	Branch Name & Code
1	☐ Cheque ☐ Pay Order ☐ Demand Draft ☐ Online Transfer			
2	☐ Cheque ☐ Pay Order ☐ Demand Draft ☐ Online Transfer			
3	☐ Cheque ☐ Pay Order ☐ Demand Draft ☐ Online Transfer			

Systematic Investment Plan (SIP) - Optional

I / We would like to start a 'Systematic Investment Plan (SIP)' as per the instructions given below.

	Frequency of Payment	Start Date (dd-mm-yy)	End Date (dd-mm-yy)	Contribution Amount (Rs.)
1	☐ Monthly ☐ Quarterly ☐ Semi-Annual ☐ Annual	☐☐-☐☐-☐☐	☐☐-☐☐-☐☐	

Debit Authority (tick one)

☐ Post-dated cheque(s) (12 cheques incase of monthly and 4 cheques incase of quarterly, 2 cheques incase of semi-annual and 1 cheque incase of annual frequency)

☐ Standing Instructions to the Bank to debit contribution amount from bank account and credit in favor of 'CDC Trustee UBL Funds'

☐ Standing Instructions to the Employer to debit contribution amount from salary and credit in favor of 'CDC' Trustee UBL Funds'

5 Equity Builder Plan

Please select your desired conversion mode (Kindly select one from the choiced provided)

☐ Fixed Conversion Option-periodic transfer amount

Please state the 'amount' & 'frequency' for conversion from the Income Fund to the Equity Fund

Amount: __________

Frequency: ☐ Daily ☐ Weekly ☐ Monthly

☐ Fixed Conversion Option - Duration

Please state the 'No. of periods' and frequency for conversion from Income Fund to Equity Fund

No. of Periods __________

Frequency: ☐ Daily ☐ Weekly ☐ Monthly

Note: If the calculated transfer amount for a transfer date is less than the minimum investment for USF, it will automatically be set at the minimum amount of USF

☐ Regular Profit Conversion

This option allows the investor to convert the profit amount of the Income Fund to the Equity Fund on a monthly basis

Note: If the transfer amount is less than the minimum requirement of USF then the profit amount will not be transferred to USF.

Note: If an investor does not choose a specific duration or transfer amount and frequency, the investment will be transferred from UGSF to USF with duration set as 36 months through monthly transfer frequency.

Total Investment Amount (Rs.) ____________________ In words ____________________

	Mode of Payment	Instrument No.	(Drawn on) Bank Name	Branch Name & Code
1	☐ Cheque ☐ Pay Order ☐ Demand Draft ☐ Online Transfer			
2	☐ Cheque ☐ Pay Order ☐ Demand Draft ☐ Online Transfer			
3	☐ Cheque ☐ Pay Order ☐ Demand Draft ☐ Online Transfer			

6 Declaration & Signature(s)

I/We have carefully read, understood and accept the terms and conditions given in the relevant Trust Deed(s) and Offering Document(s) of the Fund(s)/Plan(s). I/We understand that the company may amend or alter the terms and conditions referred herein and hereafter, from time to time. I/We undertake to access the company website to keep myself/ourselves updated before every operation of this account. I/We have understood that investments in mutual funds are subject to market risks and fund prices may go up or down based on market conditions. I/We have understood that past performance is not necessarily an indicator of future results and there is no fixed or guaranteed return.

Note: In case of 'Joint Operating Instructions', signatures of both the Primary Unit Holder and Joint Holder(s) are mandatory.

Date __ __ - __ __ - __ __
(dd - mm - yy)

______________________ Principal Unit Holder'(s) Signature

1. ________ 2. ________ 3. ________
Joint Unit Holder'(s) Signature(s)

For Office Use Only

Distributor ______________ Name of Agent ______________ Sub-Agent ______________

Reference/Agent Code ______________ IC/Location ______________ Remarks ______________

Instructions & Guidelines

1. Cash will not be accepted
2. Payment can be made in the form of a cheque, demand draft, pay order or online account transfer
3. Payment shall be made in favor of 'CDC Trustee < Fund Name >' (in case of investment in Funds), and in favor of 'CDC Trustee UBL Funds' (in case of investment in Plans). Instrument should be crossed 'Account Payee Only'
4. If payment instrument is returned, the unpaid application will be rejected
5. It should be the responsibility of the applicant to pay all charges and taxes in relation to the units purchased by him/her
6. Applications by foreign nationals and nonresident individuals shall be accepted subject to existing laws provided the subscription amount is paid by means of a remittance through banking channels or through means permitted by the State Bank of Pakistan (SBP)
7. Front-end load (charges) will be applicable on investment as per Constitutive Documents of the Fund(s) / Investment Plan(s)
8. Application will be processed as per cut off timings for the Fund(s) / Plan(s). For cut off timings SMS 'CT' to 8258